

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))

Attorney Docket No.

3211.1004-021

First Named Inventor or
Application Identifier

Keizo Koya

Express Mail Label No.

EV 052028344 US

Title of
Invention

1-GLYOXYLAMIDE INDOLIZINES FOR TREATING CANCER

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [66]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets [2]

☐ Fig. of the Drawings for Publication
☐

☒ No Figure to be Published
4. ☒ Oath or Declaration Total Pages [3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form
 - b. ☐ Paper Copy (identical to computer copy)

| | Pages
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)
☒ Assignee - Synta Pharmaceuticals Corp.
Lexington, MA
8. ☐ Power of Attorney ☐ 37 C.F.R. 3.73(b) Statement
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard
13. ☐ Small Entity Statement(s)
- 14a. ☐ Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b. ☐ Certified Copy of Priority Document(s)
15. ☐ Nonpublication Request (check parent application)
16. ☒ Other Remarks letter; Copy of co-pending application
10/319,401 along with a copy of the current
claims of co-pending application 10/319,401

17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.

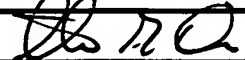
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/244,088

Prior application information: Examiner: Raymond K. Covington Group Art Unit: 1625

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.
(Add standard Related Applications section with incorporation by reference to specification or update same)

18. CORRESPONDENCE ADDRESS

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Signature		Date	Dec 19 2004
Submitted by Typed or Printed Name	Steven G. Davis	Reg. Number	39,652

22782 U.S. PTO
10/849978

052004

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

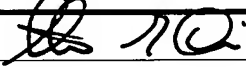
FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	3211.1004-021
	Application Number	
	First Named Inventor	Keizo Koya

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	26 - 20* =	6	x \$ 18 =	\$ 108
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	2 - 3** =	0	x \$ 86 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 290 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 770
				Total of above Calculations =	\$ 878
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
	TOTAL =				\$ 878
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				\$
	[Other]				\$
	TOTAL =				\$ 878

* Reissue claims in excess of 20 and over original patent
 ** Reissue independent claims over original patent

1. Small entity status:
 - a. ☐ A small entity statement is enclosed.
 - b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
 - c. ☐ Is no longer claimed.
2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.
3. ☒ A check is enclosed for \$878.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.
4. ☐ Other: _____

Signature		Date	May 19 2007
Submitted by Typed or Printed Name	Steven G. Davis	Reg. Number	39,652